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PATENT  
Atty. Dkt. CRUI/0012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Andrew Michael Duggan, et al.

Serial No.: 10/809,042

Confirmation No.: 5804

Filed: March 25, 2004

For: Tubing Expansion

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Group Art Unit: 3725

Examiner: Unknown

Customer No.: 36735

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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| Sharlyn Guthrie   | <i>Sharlyn Guthrie</i> |
| Typed Name  | Signature              |

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

08/20/2004 JALINAN 00000060 200782 10809042

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If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/CRUI/0012/WCG.

Respectfully submitted,



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1

of 2

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 10/809,042            |
| Filing Date            | March 25, 2004        |
| First Named Inventor   | Andrew Michael Duggan |
| Group Art Unit         | 3725 ✓                |
| Examiner Name          | Unknown               |
| Attorney Docket Number | CRUI/0012             |

Submission Date

August 18, 2004

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    | A1                    | US-6,263,968                             | 07-24-2001                     | Freeman et al.                                     |   |
|                    | A2                    | US-4,619,129                             | 10-28-1986                     | Petkov et al.                                      |   |
|                    | A3                    | US-2,096,234                             | 10-19-1937                     | Erwin  |   |
|                    | A4                    | US-                                      |                                |  |   |
|                    | A5                    | US-                                      |                                |  |   |
|                    | A6                    | US-                                      |                                |  |   |
|                    | A7                    | US-                                      |                                |  |   |
|                    | A8                    | US-                                      |                                |  |   |
|                    | A9                    | US-                                      |                                |  |   |
|                    | A10                   | US-                                      |                                |  |   |
|                    | A11                   | US-                                      |                                |  |   |
|                    | A12                   | US-                                      |                                |  |   |
|                    | A13                   | US-                                      |                                |  |   |
|                    | A14                   | US-                                      |                                |  |   |
|                    | A15                   | US-                                      |                                |  |   |
|                    | A18                   | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    | B1                    | WO 03/048503  | 06-12-2003                     | Simpson et al.                                     |   |                |
|                    | B2                    | WO 03/015954  | 02-27-2003                     | Oosterling et al.                                  |   |                |
|                    | B3                    | WO 02/103150  | 12-27-2002                     | Simpson et al.                                     |   |                |
|                    | B4                    | WO 02/081863  | 10-17-2002                     | Simpson et al.                                     |   |                |
|                    | B5                    | EP 0 952 306  | 10-27-1999                     | Lohbeck  |   |                |
|                    | B6                    | WO 98/00626   | 01-08-1998                     | Donnelly et al.                                    |   |                |
|                    | B7                    | EP 0 041 835  | 12-16-1981                     | Finch  |   |                |

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2

of 2

Submission Date

August 18, 2004

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|-----------------------|--------------------------|--|----------------|
|                       |                          | U.K. Search Report, Application No. GB0406694.0, dated June 17, 2004   |                |
|                       |                          | U.K. Search Report, Application No. GB0406693.2, dated June 11, 2004   |                |
|                       |                          | U.K. Search Report, Application No. GB0406520.7, dated April 29, 2004  |                |
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